



# Growing Smarter Toolkit

Catalog of Financial  
and Technical Resources

Commonwealth of Pennsylvania  
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[www.state.pa.us](http://www.state.pa.us)

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# Growing Smarter Toolkit

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Catalog of Financial  
and Technical Resources

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# Introduction

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Grant money or technical assistance can stretch your tax dollars and help small budgets go the distance. But, you must be patient and realistic about the funding and assistance that is available, just as you must be patient and realistic about achieving your community visions and goals.

Each year, the Commonwealth of Pennsylvania makes available millions of dollars in financial assistance. This does not even account for the millions of dollars in human resources devoted to the technical assistance programs that support grant funding, education and training. This catalog should help you find and access those resources so your community can benefit from them.

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## How to Use This Catalog

This catalog lists the current technical and financial assistance programs available in Pennsylvania. Each listing should provide you basic information on the program and a point of contact for more information. The programs are listed under general categories in the table of contents. For convenience, each program is also cross-referenced in the index at the back of the catalog.

At the beginning of each section, different state agencies or commissions are highlighted. These agencies and commissions work to support the technical or financial assistance programs either directly or indirectly. At times, these are the agencies that make the programs you need possible. You should become familiar with them and how they can help you.

Many of Pennsylvania's programs are designed to meet the diverse needs of our Commonwealth. Some programs combine agency interests and resources. And so, to ensure that you find the resource you need, some programs are listed under several, appropriate categories. This doesn't mean that a multi-listed program offers multiple sources of funding to one applicant. Rather, it ensures that the information is accessible to you regardless of where you look for it.

It is that simple. Identify programs that may be relevant to your project, then pick up the telephone, and call the contact listed. Most resources listed in this catalog direct you to a website for even more information and applications. But, if you can't find the information you are looking for or need, the Governor's Center for Local Government Services is available to help. You can call them at 1-888-2CENTER.



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# Farmland Preservation

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Agriculture is the largest economic sector in Pennsylvania. Agricultural enterprises provide employment, personal income and taxes to local and regional economies. These enterprises use and conserve renewable natural resources and sustain and perpetuate our agricultural heritage. Farms and forests serve as important cultural resources, and their continued presence maintains Pennsylvania's rural culture, lifestyles and traditional economy.

## Farmland Preservation Programs

### Financial Assistance

- Agricultural Security Areas
- Pennsylvania Agricultural Conservation Easement Purchase Program
- Clean and Green Program
- Installment Purchase Agreement Pilot Program
- Land Trust Reimbursement Grant Program
- Next Generation Farmer Loan

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### Pennsylvania Department of Agriculture

The Pennsylvania Department of Agriculture (PDA) has worked to preserve farmland and agricultural production long before the principles of Executive Order 1999-1 on Land Use directed state agencies to incorporate farmland preservation into their land use policies. With more than 50,000 farms and 7.7 million acres of crop and pasture land, Pennsylvania farms produce food, create jobs and provide scenic open space, wildlife habitat, clean water and other environmental benefits. PDA's programs support the Governor's Growing Smarter Initiatives. PDA's participation on the Interagency Land Use Team helps local government officials and state agencies continue their efforts to keep Pennsylvania's farmland in agricultural production.

### Pennsylvania Rural Development Council

Pennsylvania is distinguished to have the largest rural population of any state in the nation. The Pennsylvania Rural Development Council helps to support these rural communities maintain this distinction and position themselves as full partners in the changing world economy. The Pennsylvania Rural Development Council provides information to rural counties or those who have rural resources in their communities, and facilitates the efficient and effective use of existing and new resources between the federal, state, local, public and private sectors. A partner with the Interagency Sound Land Use Team, the Pennsylvania Rural Development Council supports the Growing Smarter Initiatives and the agencies providing the resources in this catalog.

For more information, contact:

Pennsylvania Rural Development Council  
Phone: (717) 787-1954  
Website: [www.ruralpa.state.pa.us](http://www.ruralpa.state.pa.us)



## **Agricultural Security Areas**

### **Program Sponsor:**

Pennsylvania Department of Agriculture, Bureau of Farmland Preservation

### **Description of Program:**

Designating land as an Agricultural Security Area (ASA) is a tool for protecting farms and quality farmland from the encroachment of non-agricultural uses. Once designated as an ASA, a farmer's land is protected from nuisance ordinances enacted by local municipalities. When farmland is designated in an ASA, additional levels of review are required for projects that involve condemnation.

### **Program Requirements:**

This is a voluntary program for farmers who meet the eligibility requirements. A combined minimum of 250 acres is required for the establishment of an ASA. An ASA may include non-adjacent farmland parcels of at least 10 acres or be able to produce \$2,000 annually from the sale of agricultural products.

An ASA is a prerequisite for consideration under the Easement Purchase Program.

### **Program Availability:**

ASAs are designated only once every 7 years. However, new parcels of farmland may be added to an established ASA at any time.

### **Contact Information:**

For more information, visit PDA's website at: [http://sites.state.pa.us/PA\\_Exec/Agriculture/bureaus/farmland\\_protection/index.htm](http://sites.state.pa.us/PA_Exec/Agriculture/bureaus/farmland_protection/index.htm)

Contact:  
Mary Bender, Director  
Pennsylvania Department of Agriculture  
Bureau of Farmland Preservation  
Phone: (717) 783-3167  
E-mail: [mabender@state.pa.us](mailto:mabender@state.pa.us)

## **Pennsylvania Agricultural Conservation Easement Purchase Program**

### **Program Sponsor:**

Pennsylvania Department of Agriculture, Bureau of Farmland Preservation

### **Description of Program:**

The Pennsylvania Agricultural Conservation Easement Purchase Program was developed to enable state and county governments to purchase agricultural conservation easements (sometimes called development rights) from owners of quality farmland.

### **Program Requirements:**

In order to be chosen for easement purchase, an eligible farm must first be part of an Agricultural Security Area (ASA). The farm is rated against other eligible parcels according to specific criteria related to the quality of the farmland, stewardship practices related to conservation, nutrient management and control of soil erosion and sedimentation and the likelihood of conversion from farmland to other uses. State minimum requirements for the program can be found at: [http://sites.state.pa.us/PA\\_Exec/Agriculture/G2/apply.html](http://sites.state.pa.us/PA_Exec/Agriculture/G2/apply.html).

### **Program Availability:**

Counties participating in the program have appointed agricultural land preservation boards with a state board created to oversee the program. The state board is responsible for distribution of state funds, approval and monitoring of county programs and specific easement purchases.

Participating counties and county agricultural land preservation board information is available online at [http://sites.state.pa.us/PA\\_Exec/Agriculture/G2/list\\_of\\_contacts.html](http://sites.state.pa.us/PA_Exec/Agriculture/G2/list_of_contacts.html).

### **Contact Information:**

Farmland Preservation applications are available from County Agricultural Land Preservation Boards.

A contact list is available online at: [http://sites.state.pa.us/PA\\_Exec/Agriculture/G2/list\\_of\\_contacts.html](http://sites.state.pa.us/PA_Exec/Agriculture/G2/list_of_contacts.html). The appropriate county administrator can provide assistance including information on application deadlines and requirements.

Contact:  
Mary Bender, Director  
Pennsylvania Department of Agriculture  
Bureau of Farmland Preservation  
Phone: (717) 783-3167  
E-mail: [mabender@state.pa.us](mailto:mabender@state.pa.us)

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## Clean and Green Program

### Program Sponsor:

Pennsylvania Department of Agriculture, Bureau of Farmland Preservation

### Description of Program:

The "Clean and Green" program protects farmland, forestland and open space by taxing land according to its use rather than the prevailing market value. This is a voluntary program for landowners.

### Program Requirements:

This voluntary program generally requires that the landowner keep a 10-acre minimum in designated use (agricultural use, agricultural reserve and forest reserve).

Parcels less than 10 acres and capable of producing \$2,000 annually from the sale of agricultural products are eligible for the agriculture use designation.

### Program Availability:

The program is administered at the local level by county tax assessment offices. Land taken out of the permitted use becomes subject to a rollback tax, imposed for up to 7 years, and an interest penalty.

### Contact Information:

To apply, landowners must contact their county tax assessment office for an application. The deadline for application is June 1 of each year for consider-

ation for the following tax year.

For more information, visit PDA's website at: [http://sites.state.pa.us/PA\\_Exec/Agriculture/bureaus/farmland\\_protection/index.htm](http://sites.state.pa.us/PA_Exec/Agriculture/bureaus/farmland_protection/index.htm).

Contact:  
Doug Wolfgang  
Pennsylvania Department of Agriculture  
Bureau of Farmland Preservation  
Phone: (717) 783-3167, or by  
E-mail: [dowolfgang@state.pa.us](mailto:dowolfgang@state.pa.us)

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## Installment Purchase Agreement Pilot Program

### Program Sponsor:

Pennsylvania Department of Agriculture, Bureau of Farmland Preservation

### Description of Program:

The Installment Purchase Agreement Pilot Program is a program whereby landowners may defer payment of capital gains taxes on an agriculture conservation easement purchase. The interest paid over the life of the IPA is not subject to Federal or Pennsylvania State income taxation.

### Program Requirements:

At the time a farmer applies to the county to sell an easement, the farmer will be asked to indicate a preference for direct (all-cash) or installment purchase or some combination of the two. For any installment purchase, the county and the farmer then negotiate the terms of the transaction. An agreement of sale is submitted to the PA Department of Agriculture for approval.

### Program Availability:

The long-term installment purchase program is available to farmers as an option when selling agricultural conservation easements. All program requirements for purchase of the easements must be met.

Each person considering selling a development rights easement under this program must rely on

advice from their own tax or financial advisor to evaluate the possible financial benefits of this transaction in light of individual circumstances, and to advise on IRS treatment of IPAs.

Assistance with obtaining an advisor can be obtained by contacting:

Michael W. Evanish, Manager  
Pennsylvania Farm Bureau  
MSC Business Services  
Phone: (717) 761-2740  
E-mail: mwevanish@pfb.com

### **Contact Information:**

For more information visit PDA's website at:  
[http://sites.state.pa.us/PA\\_Exec/Agriculture/bureaus/farmland\\_protection/IPA.html](http://sites.state.pa.us/PA_Exec/Agriculture/bureaus/farmland_protection/IPA.html).

Applications may be obtained from a County Agricultural Land Preservation Board. For a listing visit PDA's website at:

[http://sites.state.pa.us/PA\\_Exec/Agriculture/G2/list\\_of\\_contacts.html](http://sites.state.pa.us/PA_Exec/Agriculture/G2/list_of_contacts.html).

Contact:  
County Farmland Preservation Board or  
Mary Bender, Director  
Bureau of Farmland Preservation  
Pennsylvania Department of Agriculture at  
Phone: (717) 783-3167  
E-mail: mabender@state.pa.us

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## **Land Trust Reimbursement Grant Program**

### **Program Sponsor:**

Pennsylvania Department of Agriculture

### **Description of Program:**

This program awards reimbursement grants to qualified land trusts. The program will reimburse qualified land trusts up to \$5,000 for expenses incurred in the acquisition of agricultural conservation easements. These expenses include appraisal costs, legal services, title searches, document preparation, title insurance, closing costs and survey costs.

### **Program Requirements:**

The program is limited to qualified land trusts only. Land trusts must register with the State Board and shall be tax-exempt institutions and include the acquisition of agricultural conservation easements in their stated purpose.

The subject property must meet minimum criteria published in the Pennsylvania Bulletin.

### **Program Availability:**

The Pennsylvania Agricultural Land Preservation Board is authorized to allocate up to \$500,000 from the Supplemental Agricultural Conservation Easement Purchase Account for reimbursement grants to be awarded to qualified land trusts.

Funds available for grants under the Land Trust Reimbursement Grant Program are available on a first-come, first-served basis until the funding is depleted.

### **Contact Information:**

For more information, visit PDA's website at:

[http://sites.state.pa.us/PA\\_Exec/Agriculture/bureaus/farmland\\_protection/landtrust.html](http://sites.state.pa.us/PA_Exec/Agriculture/bureaus/farmland_protection/landtrust.html).

Contact:  
Sandra Robison  
Pennsylvania Department of Agriculture  
Bureau of Farmland Preservation  
Phone: 717-783-3167  
E-mail: srobison@state.pa.us.

To register as a qualified land trust with the State Board to be eligible for reimbursement through this program, contact:

The Bureau of Farmland Preservation  
Phone: (717) 783-3167

## **Next Generation Farmer Loan**

### **Program Sponsor:**

Pennsylvania Department of Agriculture

### **Description of Program:**

This program provides public assistance to new or beginning farmers to purchase land, farm equipment, farm buildings and breeding livestock.

The program uses federal tax-exempt mortgage financing to reduce a farmer's interest rate for capital purchases. The program is used between a borrower and a lender for a loan to make a direct purchase of farm and agricultural machinery and equipment. The tax-exempt interest income to the lender enables them to charge the borrower a lower interest rate. The interest income is exempt from federal, state and county taxes.

### **Program Requirements:**

Eligible applicants are new or beginning farmers who meet the lender credit standards. Applicants must also be a permanent resident of Pennsylvania and at least 18 years of age. Each applicant will be required to document access to adequate working capital, farm equipment and livestock, if appropriate.

Eligible applicants cannot have prior direct or indirect ownership interest in a substantial amount of land. Under this program, a substantial amount of land means a parcel that exceeds 30% of the median farm size in the county in which the land is located, or which had at any time during ownership a fair market value in excess of \$125,000.

When the transaction is complete, the qualified applicant must be the sole owner and principle user.

### **Program Availability:**

The maximum loan amount is \$250,000 per person. However, the total loan proceeds allocated to the purchase price of used equipment may not exceed \$62,500. The lender or the contract seller establishes all loan terms and makes all credit decisions.

Fees associated with the program vary between lenders and Industrial Development Authorities (IDAs). Fees are negotiated independent of the Pennsylvania Department of Agriculture.

### **Contact Information:**

For more information, visit PDA's website at: [http://sites.state.pa.us/PA\\_Exec/Agriculture/next\\_generation\\_loan/index.html](http://sites.state.pa.us/PA_Exec/Agriculture/next_generation_loan/index.html).

Contact:

Russell C. Redding

Deputy Secretary

Pennsylvania Department of Agriculture

Phone: (717)-787-3418

E-mail: [rredding@state.pa.us](mailto:rredding@state.pa.us)



# Open Space Preservation

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Pennsylvania's natural resources are significant factors in our economic vitality, environmental health and quality of life. Greenways, waterways, wetlands and other kinds of natural areas function as valuable resources for open space, wildlife habitat, water protection, recreation and tourism.

## **Open Space Preservation Programs**

### **Technical Assistance**

- Community Conservation Partnerships Program

### **Financial Assistance**

- Community Conservation Partnerships Program

## **Agencies Working to Support Pennsylvania's Growing Smarter Initiatives**

### **Pennsylvania Department of Conservation and Natural Resources**

The Department of Conservation and Natural Resources (DCNR) manages the 116 state parks and 2.1 million acres of state forest land; provides information on the state's ecological and geologic resources; and establishes community conservation partnerships with financial and technical assistance to benefit rivers conservation, trails, greenways, community parks and recreation, regional heritage parks and open space and natural areas protection. The Community Conservation Partnerships Program grants have been used by local municipalities and nonprofit groups to shape the landscape and communities in which we live, work and play.

## **Community Conservation Partnerships Programs**

### **Program Sponsor:**

Pennsylvania Department of Conservation and Natural Resources – Bureau of Recreation and Conservation

### **Description of Program:**

The Community Conservation Partnerships Program is one of Pennsylvania's primary funding sources dedicated to helping communities, counties, nonprofits and regional coalitions undertake a variety of park, recreation, conservation, heritage and greenways projects.

The sources of funding for the program are the state's Keystone Recreation, Park and Conservation Fund (Key 93), Environmental Stewardship and Watershed Protection Act (Growing Greener), Act 68 Snowmobile/ATV Fund and general fund appropriations for the Pennsylvania Heritage Parks Program. The program is supplemented with federal funds from the Land and Water Conservation Fund (LWCF) and the Transportation Equity Act for the Twenty-first Century (TEA-21).

The Community Conservation Partnerships Program contains the following grant components: Community Recreation Grants, Land Trust Grants, Rails-to-Trails Grants, Rivers Conservation Grants, Heritage Parks Grants, Snowmobile/ATV Grants, Land and Water Conservation Fund Grants and Pennsylvania Recreational Trails Grants.

### **Program Requirements:**

Grants are provided for planning, acquisition, development and rehabilitation of park, recreation, conservation, greenways and heritage areas and facilities and, in some components, maintenance of trails. Some components of the program offer funding for technical assistance, education and training projects. Heritage Parks grants can also fund promotion and marketing, special purpose studies and other heritage conservation, tourism and development projects.

Generally, all grant components require a match, usually 50%, of cash or in-kind contributions. In addition, ownership or control of the project site is generally required.

Specific requirements for each grant component (except for the Heritage Parks Grants) are detailed on DCNR's website at [www.dcnr.state.pa.us/grants](http://www.dcnr.state.pa.us/grants). Program requirements for the Heritage Parks Grant can be found at [www.dcnr.state.pa.us/brc/heritageparks/index.htm](http://www.dcnr.state.pa.us/brc/heritageparks/index.htm).

### **Program Availability:**

Eligible applicants are county and local governments; municipal authorities; and nonprofit recreation, conservation, greenway and watershed groups. For some components, private for profit enterprises, school districts and other educational institutions can receive funding.

For the Heritage Parks Program, only designated Heritage Park management entities are eligible. In turn, these entities pass the funding onto eligible communities and nonprofit groups in their heritage corridors, areas or regions.

Technical Assistance on the grant components is available through the appropriate Regional Office or the Central Office in Harrisburg.

### **Contact Information:**

DCNR's Community Conservation Partnerships Program website [www.dcnr.state.pa.us/grants](http://www.dcnr.state.pa.us/grants) provides a detailed explanation of the program and each of the grant components. The website contains the Grant Application Manual and forms, pre-application workshop information and other application instructions and requirements. Information about the Pennsylvania Heritage Program and the Heritage Parks Grants can be found at [www.dcnr.state.pa.us/brc/heritageparks/index.html](http://www.dcnr.state.pa.us/brc/heritageparks/index.html).

Or, for more information and copies of the manuals, forms, and other program materials, including technical assistance and pre-application workshop information, contact the appropriate Regional Office listed.

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## **Regional Field Offices:**

### **Southeast Field Office**

*Assisting Bucks, Chester, Delaware, and Montgomery counties and Philadelphia*

908 State Office Building  
1400 Spring Garden Street  
Philadelphia, PA 19130-4088  
Phone: (215) 644-0609  
Fax: (215) 560-6722

### **Northeast Field Office**

*Assisting Berks, Bradford, Carbon, Lackawanna, Lehigh, Luzerne, Monroe, Northampton, Pike, Schuylkill, Sullivan, Susquehanna, Tioga, Wayne and Wyoming counties*

201 Samters Building  
101 Penn Avenue  
Scranton, PA 18503-2025  
Phone: (570) 963-4157  
Fax: (570) 963-3439

### **Southcentral Field Office**

*Assisting Adams, Bedford, Blair, Cambria, Cumberland, Franklin, Fulton, Huntingdon, Juniata, Mifflin, Perry, Somerset and York counties*

P.O. Box 1554  
Harrisburg, PA 17105-1554  
Phone: (717) 772-3839  
Fax: (717) 705-2943

### **Northcentral Field Office**

*Assisting Centre, Clinton, Columbia, Dauphin, Lancaster, Lebanon, Lycoming, Montour, Northumberland, Snyder and Union Counties*

P.O. Box 1554  
Harrisburg, PA 17105-1554  
Phone: (717) 772-3839  
Fax: (717) 705-2943

### **Southwest Field Office**

*Assisting Allegheny, Armstrong, Beaver, Butler, Fayette, Greene, Indiana, Washington and Westmoreland counties*

1405 State Office Building  
300 Liberty Avenue  
Pittsburgh, PA 15222-1210  
Phone: (412) 880-0486  
Fax: (412) 565-2635

### **Northwest Field Office**

*Assisting Cameron, Clarion, Clearfield, Crawford, Elk, Erie, Forest, Jefferson, Lawrence, Potter, Mercer, McKean, Venango and Warren counties*

1301 French Street  
1200 Lovell Place  
Erie, PA 16503-2646  
Phone: (814) 871-4190  
Fax: (814) 454-7494

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## **Pennsylvania Heritage Parks Program Coordination Offices:**

### **Eastern PA District**

201 Samters Building  
101 Penn Avenue  
Scranton, PA 18503-2025  
Phone: (570) 963-4973  
Fax: (570) 963-3439

### **Western PA District**

P.O. Box 1554  
Harrisburg, PA 17105-1554  
Phone: (717) 772-4361  
Fax: (717) 705-2943





# Environmental Protection and Conservation

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Pennsylvania has a long history of protecting its environment. From improving air quality, the restoration of wetlands, improving abandoned minelands and brownfields, improving water resource management and protecting our watersheds to waste management and recycling, Pennsylvanians have taken seriously the responsibility to leave Penn's Woods as a living, sustainable legacy for generations to come.

## Technical Assistance

- The Pennsylvania Small Towns Environmental Program (PENN STEP)

## Financial Assistance

- Growing Greener Grant Program
- Water
  - Coastal Zone Management Program
  - Source Water Protection Grants
  - Storm Water Management Program
  - Section 319 – Nonpoint Source Management Grant
- Solid Waste Management
  - Act 101 County Planning Grants
- Mitigation/Resistance Planning
  - Competitive Hazard Mitigation Grants
  - Flood Mitigation Assistance Program
  - Project Impact
  - Floodplain Land Use Assistance Program

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### Pennsylvania Department of Environmental Protection

The Pennsylvania Department of Environmental Protection (DEP) is the state agency largely responsible for administering Pennsylvania's environmental laws and regulations. DEP's responsibilities include: reducing air pollution; making sure the drinking water is safe; protecting water quality in Pennsylvania's rivers and streams; making sure waste is handled properly; managing the Commonwealth's recycling programs and helping citizens prevent pollution and comply with the Commonwealth's environmental regulations. DEP is committed to general environmental education and encouraging effective public involvement in setting environmental policy. To meet its responsibilities, DEP works as a partner with individuals, organizations, governments and businesses to prevent pollution and restore Pennsylvania's natural resources.

## **The Pennsylvania Small Towns Environmental Program (PENN STEP)**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Water Supply Management

### **Program Requirements:**

PENN STEP is designed for smaller communities interested in solving their drinking water or wastewater problems through self-help techniques. This program provides guidance, assistance and support directly to members of the community that are responsible for project organization, implementation and completion. This program includes assistance for choosing engineering services, project planning, organizing local resources, working with volunteer labor and working with contractors. PENN STEP can assist in a community's search for equipment, materials and funding. PENN STEP can also help coordinate various forms of assistance from state, federal, and private sources.

### **Program Availability:**

A public meeting is held with a PENN STEP representative who explains the program to an interested community, answers any questions and discusses the potential readiness criteria.

To be eligible for PENN STEP, a program is evaluated according to the potential of the community to successfully undertake the project and the readiness of the community to do the project work.

### **Contact Information:**

For more information go to  
<http://www.dep.state.pa.us/dep/deputate/waterops/re-design/subpages/subpages/pennstepmain.html>

To start the process, interested communities should contact:

Kevin Karmosky  
Pennsylvania Department  
of Environmental Protection  
PENN STEP Coordinator  
Phone: (717) 787-0122  
E-mail: [kkarmosky@state.pa.us](mailto:kkarmosky@state.pa.us).

## **Growing Greener Grant Program**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Growing Greener Grant Center

### **Description of Program:**

Authorized by the Environmental Stewardship and Watershed Protection Act for 1999, the purpose of this grant is to address water quality impaired watersheds in Pennsylvania that are polluted by nonpoint sources of pollution such as abandoned mine drainage, urban and agricultural runoff, atmospheric deposition, on-lot sewage systems and earthmoving. The grant addresses these and similar concerns through local, watershed-based planning, restoration and protection efforts.

### **Program Requirements**

Eligible proposals address nonpoint source pollution in the short-term or long-term through local, watershed based planning, restoration or protection efforts. Proposals fall into one or more of the following categories:

1. organization of a watershed group;
2. watershed assessments and development of watershed restoration or protection plans;
3. implementation of watershed restoration or protection projects;
4. demonstration projects, and
5. education/outreach projects.

Projects must be discussed with the appropriate DEP watershed manager before preparing the grant application.

### **Program Availability:**

Grant rounds are held annually. Grant applications are posted on DEP's website prior to deadline. Electronic submissions are accepted. If filing is done electronically, five copies of the required topographic maps and letters of support must be provided in hard copy format.

**Contact Information:**

Program background and guidelines are available on DEP's website at:

<http://www.dep.state.pa.us/growgreen/defaultdep.html>

DEP Regional Watershed Managers or County Watershed Specialists are listed at:

[http://www.pawatersheds.org/KWN/service\\_providers/wsmanagers.html](http://www.pawatersheds.org/KWN/service_providers/wsmanagers.html)

[http://www.pawatersheds.org/KWN/service\\_providers/wsspecialists.html](http://www.pawatersheds.org/KWN/service_providers/wsspecialists.html)

Ronald Stanley  
 Pennsylvania Department  
 of Environmental Protection (DEP)  
 Phone: 1-877-PAGREEN or (717) 705-5400  
 E-mail: [growinggreener@state.pa.us](mailto:growinggreener@state.pa.us)

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## Coastal Zone Management Program

**Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Office of River Basin Cooperation

**Description of Program:**

The Coastal Zone Management (CZM) Program provides grants and technical assistance to municipalities, certain nonprofit organizations and state agencies with direct impacts on Pennsylvania's two designated Coastal Zones, the Lake Erie shore line and the Delaware Estuary. These are federal funds which are administered by the U.S. National Oceanic and Atmospheric Administration (NOAA), a branch of the U.S. Department of Commerce.

**Program Requirements**

Potential applicants located within the designated Coastal Zone areas and with direct impacts on Lake Erie and the Delaware Estuary may apply for funding for projects which advance the CZM policies. Project proposals should address one or more of the following ten policy areas: Coastal Hazard Areas, Dredging and Spoil Disposal, Fisheries Management, Wetlands, Public Access for Recreation,

Historic Sites and Structures, Port Activities, Energy Facility Siting, Intergovernmental Coordination and Public Involvement.

**Program Availability:**

Any single project is generally limited to a maximum of \$50,000. Most grants require a 50% (dollar-for-dollar) match either in cash or with in-kind materials and/or services. Cash-match funds may include monies provided by a state private grant program but **may not** include funds from another federal grant source. Grant funding is based on the federal fiscal year and project term lengths begin October 1st each year. All CZM funded projects must be completed within eighteen months of their start date.

**Contact Information:**

Program fact sheets and guidance information are available on the PA DEP website at  
<http://www.dep.state.pa.us/river/czmp.html>

For a grant application contact:

Jim Nagy  
 Pennsylvania Department  
 of Environmental Protection (DEP)  
 Phone: (717) 783-2402  
 E-mail: [jnagy@state.pa.us](mailto:jnagy@state.pa.us)

Everald McDonald  
 Pennsylvania Department  
 of Environmental Protection (DEP)  
 Phone: (717) 772-5619  
 E-mail: [emcdonald@state.pa.us](mailto:emcdonald@state.pa.us)

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## Source Water Protection Grants

**Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Watershed Management

**Description of Program:**

Source Water Protection Grants are made available as a component of the Growing Greener Grant Program application. Grants are available to develop, complete or implement local source water

protection programs once a source water assessment is completed. Such programs protect drinking water sources used by community water systems based on the results of the state-provided source water assessment.

**Program Requirements:**

Recipients of grants must establish Source Water Protection (SWP) programs that meet DEP’s minimum requirements. The SWP program should include public education, program promotion, support for pollution prevention methods, integration with land use planning and restoration and/or conservation of the source water protection area.

**Program Availability:**

Applicants apply for these grants as part of the Growing Greener Grant Application. Timeframes and due dates are consistent with the Growing Greener Grant program.

Funding for wellhead protection projects are capped at \$50,000. Funding for watershed protection projects are capped at \$200,000. Applicants must provide a 10% match.

**Contact Information:**

For more information, contact:

Jennifer Bandura  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 772-4044

Program background and information can be found at [www.dep.state.pa.us](http://www.dep.state.pa.us) (directLINK “source water”).

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**Stormwater Management Program**

**Program Sponsor:**

Department of Environmental Protection (DEP),  
Bureau of Watershed Management

**Description of Program:**

This program provides grants to counties to develop storm water management plans for designated water-

sheds and to municipalities to implement the plans. The Pennsylvania Stormwater Management Act (Act 167) requires that county develop and adopt storm water management plans for the watersheds within their boundaries and also to update those plans every five (5) years. The municipalities, located in the county adopted watershed plan areas, are required to enact, implement and administer storm water control ordinances. The grant assistance to counties and municipalities is limited to 75% of the costs for the eligible expenses.

**Program Requirements:**

The county must submit to DEP a letter of interest and a proposal for a watershed plan. A formal application by the counties is not necessary for this grant. Municipalities need to submit a reimbursement form annually to DEP.

**Program Availability:**

DEP makes \$1.2 million available for this program each fiscal year. Counties and municipalities are eligible for funding.

**Contact Information:**

More information can be found at [www.dep.state.pa.us](http://www.dep.state.pa.us) (directLINK “stormwater”).

Contact:  
Durla Lathia,  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 772-5661  
Email: [dlathia@state.pa.us](mailto:dlathia@state.pa.us)

Letters of interest with proposals, or Municipal Reimbursement Form, should be submitted to:

Durla Lathia  
Pennsylvania Department  
of Environmental Protection (DEP)  
P.O. Box 8555  
Harrisburg, PA 17105

## **Section 319 – Nonpoint Source Management Grant**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Watershed Management

### **Description of Program:**

Section 319 of the federal Clean Water Act provides states with grant funds to address specific nonpoint source water pollution problems. This funding essentially covers the same types of projects eligible under the Growing Greener Program.

### **Program Requirements:**

All grant funds are made on a reimbursement basis. Water quality impaired watersheds that are polluted by nonpoint sources are eligible for Growing Greener funds and Section 319 funds.

### **Program Availability:**

Proposals may be submitted by municipalities (counties, boroughs, townships, cities), incorporated nonprofit organizations and county conservation districts. Section 319 funds for FY 2003 will not be available before October 1, 2002.

Applicants apply for these grants as part of the Growing Greener Grant Application. Timeframes and application deadlines are consistent with that program.

### **Contact Information:**

Russell Wagner  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 772-5642  
E-mail: ruwagner@state.pa.us

Or visit

<http://www.dep.state.pa.us/dep/deputate/watermgmt/WC/Subjects/NonPoint.html>

## **Act 101 – County Planning Grants**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Land Recycling and Waste Management

### **Description of Program:**

This is a reimbursement grant for preparation of county solid waste management plans required by Act 101.

### **Program Requirements:**

Counties are eligible to receive 80% funding for preparation of a county solid waste management plan. This covers feasibility studies for management of waste in the county, including costs associated with educational programs for household hazardous waste and pollution prevention. It does not cover construction costs.

Applicants must set up a pre-application conference with DEP Regional Recycling Coordinators prior to application.

### **Program Availability:**

A maximum of \$200,000 is available per county. A total of \$2 million is allocated for the program each year. The application period is open-ended. Grant applications are only available from regional DEP staff after the pre-application conference.

### **Contact Information:**

For contact information for DEP Regional Recycling Coordinator access the DEP website at:

<http://www.dep.state.pa.us/dep/deputate/airwaste/wm/RECYCLE/document/DEPCOORD.html>

Contact:

Larry Holley  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 787-7382  
E-mail: lholley@state.pa.us

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## **Competitive Hazard Mitigation Grants**

### **Program Sponsor:**

Pennsylvania Emergency Management Agency (PEMA), Hazard Mitigation Office

### **Description of Program:**

The Hazard Mitigation Grants Program provides funding to local governments for the acquisition and relocation or removal of structures from flood-prone areas. Funding is also provided for elevating existing structures or to “flood proof” them, and for the construction of structural hazard controls such as debris basins or floodwalls. Funding is also awarded for other measures that provide protection or reduces the likely damage from future disasters.

The Hazard Mitigation Grants Program may also fund measures that affect properties not damaged in a recent disaster, but which remain vulnerable to future disasters. The identification of projects in the Hazard Mitigation Plan will be used by PEMA to speed disaster recovery assistance to the affected communities immediately following an unusual catastrophic event.

### **Program Requirements:**

Grants under the program are made ONLY to local governments, special districts, private nonprofit agencies with a governmental function or Indian tribes. A local government may serve as an applicant agent for individuals.

For acquisitions, project applications that are submitted to FEMA for approval are reviewed for eligibility, cost-effectiveness and environmental impact.

### **Program Availability:**

Funding is generally given to acquisition and elevation projects. Because funds are limited, PEMA selects and prioritizes eligible projects on a competitive basis and submits the projects to FEMA for approval. The Hazard Mitigation Grants Program can provide up to 75% funding for hazard mitigation measures.

### **Contact Information:**

Ron Killins, Sr., State Hazard Mitigation Officer  
Pennsylvania Emergency Management Agency  
Bureau of Recovery and Mitigation  
Phone: (717) 651-2145  
E-mail at: rkillins@state.pa.us

For useful information and links, go to <http://www.pema.state.pa.us/>. Select Programs/Services, then Disaster Prevention & Recovery, then select Hazard Mitigation.

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## **Flood Mitigation Assistance Program**

### **Program Sponsor:**

Pennsylvania Emergency Management Agency, Hazard Mitigation Office

### **Description of Program:**

The Flood Mitigation Assistance Program (FMAP) provides funding to assist states and communities in implementing measures to reduce or eliminate the long-term risk of flood damage to buildings, manufactured homes and other structures insurable under the National Flood Insurance Program (NFIP). FMAP is a pre-disaster grant program.

### **Program Requirements:**

Communities that have flood mitigation plans can request approval of their plans from the FMAP state point of contact and FEMA. Approved plans make a community eligible to apply for FMAP project grants.

### **Program Availability:**

Any state agency, participating NFIP community or qualified local organization is eligible to participate in the FMAP.

FMAP funds are distributed from FEMA to the state. PEMA serves as the grantee and program administrator for the FMAP. FEMA may contribute up to 75% of the total eligible costs. At least 25% of the total eligible costs must be provided by a non-federal source. Of this 25%, no more than half can be provided as in-kind contributions from third parties.

**Contact Information:**

For more information about the Flood Mitigation Assistance Program, contact:

PEMA's State Hazard Mitigation Office  
Phone: (717) 651-2145, or 1-800-635-9692

Or visit PEMA's website at  
<http://www.pema.state.pa.us>

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**Project Impact**
**Program Sponsor:**

Pennsylvania Emergency Management Agency,  
Hazard Mitigation Office

**Description of Program:**

Project Impact is an initiative sponsored by the Federal Emergency Management Agency (FEMA) to create disaster resistant communities. Project Impact challenges communities to use long-term grassroots solutions and resources to prevent natural and technological disasters. Designated Project Impact communities receive funding to develop workgroups and projects that address every aspect of creating a healthy, disaster resistant community.

**Program Requirements:**

Any community that can show a significant threat from any natural or technological hazard is eligible to become a Project Impact community.

A municipality, multi-municipal group or county can seek designation. Applicants must demonstrate, among other things, the presence of multiple potential hazards, a history of problems or declared disasters and the leadership to build and continue partnerships.

**Program Availability:**

A municipality applies for designation to PEMA. PEMA's Hazard Mitigation Team reviews the application and forwards its recommendation to the Office of the Lieutenant Governor for review. From there, the application is sent to FEMA's regional office for final consideration.

**Contact Information:**

For more information about Project Impact, contact:

PEMA's State Hazard Mitigation Office  
Phone: (717) 651-2145, or 1-800-635-9692

Or visit PEMA's website at  
<http://www.pema.state.pa.us>

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**Floodplain Land Use Assistance Program**
**Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED), Governor's Center for Local Government Services

**Description of Program:**

The Floodplain Management Program of the Governor's Center for Local Government Services focuses on providing technical and financial assistance to local governments to help them adopt and administer land use regulations and controls, to reduce and avoid future flood damages.

**Program Requirements:**

Municipalities seeking assistance must be participating in the National Flood Insurance Program (NFIP), complying with Act 166 and submitting an Annual Report. Funds are available to assist in the preparation, administration and enforcement of floodplain management regulations.

**Program Availability:**

A letter of intent must be submitted to the Governor's Center for Local Government Services. The letter of intent is available online at:  
[www.landuseinpa.com](http://www.landuseinpa.com).

Funding is awarded for up to 50% of eligible costs.



**Contact Information:**

Kerry Wilson  
Pennsylvania Department  
of Community and Economic Development  
Governor's Center  
for Local Government Services  
Phone: 1-888-2CENTER (1-888-223-6837)  
E-mail: [kerwilson@state.pa.us](mailto:kerwilson@state.pa.us).

Visit the Governor's Center for Local Government  
Services website for additional information at:  
<http://www.landuseinpa.com>.

# Infrastructure

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Pennsylvania's water is a strategically important resource. The social and economic viability of the Commonwealth is greatly dependent upon the quantity and quality of this resource and its efficient distribution and use.

## Water Facilities

### Technical Assistance

- Water and Waste Water Outreach Program

### Financial Assistance

- Small Water Systems Regionalization Grant Program
- Small Water Systems Consolidation and Construction Grant Program
- Act 537 – Sewage Facilities Planning Assistance
- Public Utilities
- PENNVEST Funding

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### Pennsylvania Public Utility Commission

The Pennsylvania Public Utility Commission (PUC) is an independent, administrative, quasi-judicial agency vested with the responsibility to supervise and regulate all of the public utilities conducting business in the Commonwealth. The PUC regulates all investor-owned utility systems and municipalities that serve outside their municipal limits. Over the past two years, the PUC has supported the work of Pennsylvania's Interagency Team on Land Use and adopted a Policy Statement in accordance with the Commonwealth's goal to make state agency actions consistent with sound land use planning. To achieve this goal, the PUC routinely provides staff expertise and assistance to public utilities in the areas of water/wastewater, energy and telecommunications.

Expert and technical assistance is provided for applications (including new companies, mergers and acquisitions, additional territory, and abandonments), rate increase requests, utility visits to assist in compliance with regulations, line extensions and installations and waiver of various regulations.

For further information, contact:

Judith A. Koch-Carlson, (717) 783-5392 – Water/Wastewater  
Robert J. Bennett, (717) 787-5553 – Energy  
Gary Wagner, (717) 783-6175 – Telecommunications

For additional information about the PUC, please visit the website at [www.puc.paonline](http://www.puc.paonline).

## **Water and Waste Water Systems Outreach Program**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Division of Technical Assistance and Outreach

### **Description of Program:**

This program provides technical assistance to municipal plant operators and staff and to small water systems. On-site assistance is provided through the Environmental Training Partnership (ETP). Technical assistance providers work for the program on a part-time basis and are otherwise employed full time as professionals in the water or wastewater field. Depending on the complexity of the site or the problems encountered, technical assistance can last anywhere from four weeks to one year or longer.

### **Program Requirements:**

Any publicly or privately owned water or wastewater system that has a desire to achieve, maintain or improve compliance is eligible for the program. There is NO COST for the on-site assistance. However, costs to implement necessary changes are the responsibility of the treatment system.

### **Program Availability:**

This program is voluntary and services are provided upon request.

The program offers hands-on on-site assistance in services such as: Process Control, Laboratory Procedures, Utility Management, Facility Management, Energy Efficiency, Auditing, Chlorine Minimization, Pre-Treatment, Solids Management, Grant Preparation, Self-Help Construction Practices, Safety, Collection System Operations, Distribution Systems Operations and Record Keeping and Reporting.

### **Contact Information:**

A request for assistance can be made with DEP by contacting the program directly.

Ned Sterling  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 787-0122  
E-mail: [nsterling@state.pa.us](mailto:nsterling@state.pa.us)

More information can be obtained on the Drinking Water and Wastewater Operator web page at [www.dep.state.pa.us](http://www.dep.state.pa.us) (directLINK "operators").

## **Small Water Systems Regionalization Grant Program**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Water Supply Management

### **Description of Program:**

This program offers reimbursement for feasibility studies by local small water systems to study regionalization options.

### **Program Requirements:**

The proposed regionalized water system must involve at least one small water system (a community water system, that serves 3,300 or fewer people).

A local sponsor is needed for project.

### **Program Availability:**

Grant awards are limited to no more than 75% of the total cost of conducting a water systems regionalization study or \$75,000, whichever is less. The grantee must provide the local share in the form of matching funds or in-kind services at a minimum of 25% of the total cost of the study. The grantee must pay 100% of any costs in excess of \$100,000.

DEP has allocated \$500,000 for this grant for each Fiscal Year.

### **Contact Information:**

Grant application/technical guidance is available on the DEP website at [http://www.dep.state.pa.us/TechnicalGuidance/Draft\\_technical\\_guidance.asp](http://www.dep.state.pa.us/TechnicalGuidance/Draft_technical_guidance.asp).

Click on Document 383-5500-213.

Contact:  
Dennis Lee  
Pennsylvania Department  
of Environmental Protection  
Phone: (717) 772-4058  
E-mail: denlee@state.pa.us

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## **Small Water Systems Consolidation and Construction Grant Program**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Water Supply Management

### **Description of Program:**

This new Initiative, established in 2001, is a follow-up program to the Small Water Systems Regionalization Grant Program. The program offers reimbursement for physical construction of interconnections between water supplies.

### **Program Requirements:**

Eligible projects include construction of waterlines to interconnect water systems and repair of existing small water systems to meet standards or conditions of the acquiring system. Eligible costs associated with these types of projects include design, construction management, project administration, permits, layout, materials and labor.

### **Program Availability:**

Grant awards are limited to 75% of all DEP-approved project costs or \$75,000, whichever is less. Grantee must provide the remaining 25% of the total study costs up to a total study cost of \$150,000. Local share can be in the form of matching funds or in-kind services. In addition to the 25% local share, grantee must pay 100% of any costs in excess of \$150,000.

DEP has allocated \$500,000 for this grant for each Fiscal Year. The application period is open-ended.

### **Contact Information:**

Grant application/technical guidance is available on the DEP website  
[http://www.dep.state.pa.us/TechnicalGuidance/Draft\\_technical\\_guidance.asp](http://www.dep.state.pa.us/TechnicalGuidance/Draft_technical_guidance.asp).

Click on Document 383-5500-613

Contact:  
Dennis Lee  
Pennsylvania Department  
of Environmental Protection  
Phone: (717) 772-4058  
E-mail: denlee@state.pa.us

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## **Act 537 – Sewage Facilities Planning Assistance**

### **Project Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Water Quality Protection

### **Description of Program:**

The Sewage Facilities Planning Assistance Program provides funding for municipalities to prepare new or updated official sewage plans as required by Act 537.

### **Program Requirements:**

Eligible applicants include municipalities, counties and authorities.

### **Program Availability:**

This program is open-ended and eligible applicants can submit applications at any time. The program reimburses applicants 50% of the reasonable and documented costs associated with developing a new or updating an existing sewage plan.

### **Contact Information:**

John McHale  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 787-8184  
E-mail: jomchale@state.pa.us

For background and guidance on the program, access the DEP website at [www.dep.state.pa.us](http://www.dep.state.pa.us) (directLINK “Act 537”).

The grant application can be accessed on the DEP website at [http://www.dep.state.pa.us/dep/subject/All\\_Final\\_Technical\\_guidance/bwsch/bwsch.html](http://www.dep.state.pa.us/dep/subject/All_Final_Technical_guidance/bwsch/bwsch.html)

Click on Document 362-5512-002.

## **PENNVEST Funding**

### **Program Sponsor:**

The Pennsylvania Infrastructure Investment Authority (PENNVEST)

### **Description of Program:**

The Pennsylvania Infrastructure Investment Authority (PENNVEST) offers low-interest loans for design, engineering and construction of both publicly and privately owned drinking water distribution and treatment facilities, wastewater treatment and collection systems, and municipal storm water conveyance and control systems. PENNVEST ranking criteria considers public health and environmental benefits, as well as economic development impacts of a project, in order to bring together the goals of environmental improvements and job creation.

### **Program Requirements:**

Funding is provided for eligible components of projects that include design, engineering, and construction of publicly and privately owned drinking water and wastewater facilities as well as municipal owned storm water systems.

PENNVEST sets its funding based upon several socio-economic factors including the maximum interest rates determined in each individual county. These rates vary depending upon the county unemployment rate and the latest state bond issue, and are different for each county. Generally, rates range 1 percent to just under 5 percent interest for a typical 20 year term. The funding package is also dependent upon the resulting residential user fee (if

any). The interest rate may be reduced to as low as 1 percent for the entire term of the loan, and some supplemental grant funding may be available in order to keep the user fees in line with similar communities.

For individual homeowners, PENNVEST has a program offering low interest funding to pay for repair or replacement of their malfunctioning on-lot system that serves their principle residence. This program is administered in conjunction with the Pennsylvania Housing Finance Agency (PHFA). PENNVEST is able to provide up to \$25,000 to individual homeowners through innovative programs with an interest rate of close to 1.5 percent.

### **Program Availability:**

PENNVEST can fund any owner and/or operator of a water, sewer or municipal storm-water system with a project to construct a new system or improvements necessary to correct public health, environmental, compliance or safety deficiencies with existing systems. Funding is available for up to 100% of eligible project costs.

### **Contact Information:**

For a detailed explanation of how to apply for PENNVEST funding and important links, please go to <http://www.pennvest.state.pa.us/pennvest/cwp/view.asp?A=4&Q=72530>.

Contact your regional PENNVEST Project Specialist for additional information and initial review of your eligibility requirements.

# Transportation

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Growing Smarter requires striking a balance between preservation, maintenance and growth; between new and old. Pennsylvania's infrastructure, especially its roads, highways and bridges, has helped to shape the landscape and communities in which we live, work and play. Coordination is essential in planning the infrastructure that will continue to shape the kind of Pennsylvania we want to leave as our legacy. Since infrastructure usually extends beyond municipal boundaries, planning for it should be both multimunicipal and local.

## Technical Assistance

- Local Training Assistance Program (LTAP)
- Pennsylvania's Traffic Calming Handbook
- Intermodal Coordinator Training
- Rail Freight Properties Directory
- Context Sensitive Solutions
- Highway Occupancy Permit
- PennPlan
- Model Ordinances
- Bicycling Directory of Pennsylvania

## Financial Assistance

- Unified Planning Work Program
- Transportation Project/Land Use Planning Coordination Initiative
- Pennsylvania Infrastructure Bank
- Transportation Management Association (TMA) Funding
- Transit Research & Demonstration Program
- Transportation Enhancements Program
- MPO Planning and Programming
- Intelligent Transportation Systems

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### The Pennsylvania Department of Transportation

The Pennsylvania Department of Transportation (PENNDOT), one of the nation's leading public works organizations is the sole state entity that provides highways, bridges and other transportation systems and services to the Commonwealth of Pennsylvania. It owns and operates the nation's fourth largest state-owned highway system and administers one of the nation's largest grant programs for mass transit, rail freight and aviation. PENNDOT provides these transportation systems and services through the active involvement of customers, partners and employees. Many of PENNDOT's programs support the smart growth initiative and provide funding for studies that coordinate transportation and land use.

More information about PENNDOT and its programs can be accessed by visiting <http://www.dot.state.pa.us>.

## **Local Training Assistance Program (LTAP)**

### **Project Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Planning and Research

### **Description of Program:**

The Local Training Assistance Program (LTAP) is designed to help Pennsylvania municipalities, which maintain 68,500 miles of local roadways, make the best use of their roadway maintenance dollars.

LTAP provides a wide array of services, including technical assistance, training sessions and updates on the latest technologies and innovations, usually at no cost to the municipalities. LTAP is sponsored by PENNDOT, Federal Highways Administration (FHWA) and Penn State University, in cooperation with the Governor's Center for Local Government Services.

### **Program Requirements:**

Technical assistance is available upon request.

The Roads Scholar Program provides up-to-date road and street maintenance information. There is also an extensive list of publications and videos that can be loaned to municipalities at no cost.

### **Program Availability:**

The Roads Scholar Program offers two courses.

1. Provides training to municipalities to keep roads safer and properly maintained at lower costs.
2. Provides training for foremen, crew leaders, and elected municipal officials in topics such as planning, supervising, policymaking, and managing.

Both courses are offered at no charge.

### **Contact Information:**

Bob Garrett  
Pennsylvania Department  
of Transportation (PENNDOT)  
Bureau of Planning and Research  
Phone: (717) 787-0800  
E-mail: rgarrett@dot.state.pa.us.

A Technical Assistance Request Form can be found online. This can be submitted electronically or via telephone at 1-800-FOR-LTAP. Upon request, an engineer will answer your questions or come to your municipality to look at particular problems.

Access information via website at:  
<http://www.ltap.psu.edu>.

## **Pennsylvania's Traffic Calming Handbook**

### **Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Highway Safety and Traffic Engineering

### **Description of Program:**

Pennsylvania's Traffic Calming Handbook (Pub. 383) provides guidance for PENNDOT when considering the use of traffic calming measures on state roadways in Pennsylvania. The handbook also provides municipalities with information that can help them establish a traffic calming program for roadways within their jurisdiction.

### **Program Requirements:**

Pennsylvania's Traffic Calming Handbook should be used in conjunction with the Institute of Transportation Engineer's (ITE) "Traffic Calming – State of Practice" publication. Modifications to the study and approval process presented in the publication may be needed to better reflect the conditions of the community.

### **Program Availability:**

When conditions warrant, traffic calming measures may be appropriate on the following roadway types (local or state-owned):

- Local residential streets
- Collector streets with predominantly residential land uses
- Arterial roads within downtown districts or commercial areas (with posted speeds of 40 mph or less)

**Contact Information:**

Doug Tomlinson  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Bureau of Highway Safety  
 and Traffic Engineering  
 Phone: (717) 787-3657,  
 E-mail: dtomlin@dot.state.pa.us.

Pennsylvania’s Traffic Calming Handbook (Pub. 383) is available on PENNDOT’s website at [www.dot.state.pa.us](http://www.dot.state.pa.us), keyword: traffic calming.

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**Intermodal Coordinator Training**

**Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Rail Freight, Ports and Waterways

**Description of Program:**

The initial pilot of an intermodal coordinator training course was held in 2001 to improve communication within the transportation community. The training developed a more formal approach to deal with cross-cutting transportation issues, such as dealing with the eminent domain for railroad right-of-way for a highway project, water port or airport access needs, etc.

**Program Requirements:**

The next course is currently being developed and may be held in late 2002 to early 2003. Training will be offered at four sessions in different regions of Pennsylvania. A primary focus will be the linkage between economic development and transportation, and techniques in addressing multi-modal/intermodal transportation planning issues.

**Program Availability:**

The initial four sessions of the course will be available to a group of hand-selected PENNDOT and public planning agency representatives.

**Contact Information:**

The program is not yet available. However, information may be available by calling:

Ran Marshall  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Bureau of Rail Freight, Ports and Waterways  
 Phone: (717) 787-2627  
 E-mail: [emarsha@dot.state.pa.us](mailto:emarsha@dot.state.pa.us)

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**Rail Freight Properties Directory**

**Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Rail Freight, Ports and Waterways, Rail Operations/Intermodal Programs

**Description of Program:**

The purpose of this directory is to identify properties located along the regional and shortline railroads in Pennsylvania that have the potential to be rail served. The directory serves as a valuable resource to promote economic development and increase the traffic base of the regional and shortline railroads. It also serves to foster ongoing collaborative efforts and communication between the railroads, economic development contacts, chambers of commerce, planning agencies, industrial real estate agents, developers, property owners and others.

The Directory identifies 205 properties in three geographic regions; Western, Central, and Eastern Pennsylvania. It has been used as a resource for companies wanting to move and/or expand business in Pennsylvania and want the benefits of rail service. Additionally, the directory identifies sites located in Keystone Opportunity Zones, providing businesses with added tax reduction benefits.



**Program Requirements:**

Properties that are rail served by a Pennsylvania shortline or regional railroad that are available for lease or purchase by a business requiring rail transportation can be included in the web-based version of the directory.

**Program Availability:**

The directory is available to economic development contacts, chambers of commerce, planning agencies, industrial real estate agents, developers, property owners and others.

**Contact Information:**

The directory can be accessed on the website at [www.dot.state.pa.us](http://www.dot.state.pa.us), click on Special Interest Areas, then Rail Freight then Properties Directory.

Contact:  
Ran Marshall  
Pennsylvania Department  
of Transportation (PENNDOT)  
Bureau of Rail Freight, Ports and Waterways,  
Rail Operations/Intermodal Programs  
Phone: (717) 787-2627  
E-mail: [emarsha@dot.state.pa.us](mailto:emarsha@dot.state.pa.us)

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**Context Sensitive Solutions****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Design, Highway Quality Assurance Division

**Description of Program:**

Context Sensitive Solutions is a collaborative, interdisciplinary approach to designing, constructing and maintaining transportation facilities, in which stakeholders (citizens, agencies, public officials, etc.) are part of the project team. Its goal is to balance safety, mobility and transportation needs while preserving scenic, aesthetic, historic, cultural, environmental and community values.

**Program Requirements:**

Context Sensitive Solutions builds on the existing project development process to encourage more proactive involvement with the stakeholders throughout the process.

**Program Availability:**

Training on Context Sensitive Solutions is currently being developed for the Planning, Design, Construction, and Maintenance communities.

**Contact Information:**

A Context Sensitive Solutions handbook is currently being developed with an anticipated completion date of early 2003. Access to the handbook will be available on the [www.dot.state.pa.us](http://www.dot.state.pa.us) website.

Contact:  
Dan Stewart  
Pennsylvania Department  
of Transportation (PENNDOT)  
Bureau of Design, Highway Quality  
Assurance Division  
Phone: (717) 787-0456  
E-mail: [dstewar@dot.state.pa.us](mailto:dstewar@dot.state.pa.us)

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**Highway Occupancy Permit****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Maintenance and Operations

**Description of Program:**

Consideration of local land use planning promotes coordination between PENNDOT and municipalities in the issuance of driveway permits. PENNDOT now requires applicants to complete a land use questionnaire when applying for certain driveway permits. PENNDOT uses this form to determine if the proposed project meets local land use requirements. In addition to the land use questionnaire form, early coordination meetings between PENNDOT, the applicant, municipalities and other stakeholders provides an opportunity for increased coordination with local land use efforts.

**Program Requirements:**

The land use questionnaire is included in all low, medium and high volume driveway applications.

**Program Availability:**

PENNDOT notifies municipalities of projects that do not meet zoning use requirements. In addition, municipalities and counties are encouraged to contact PENNDOT district offices to request notification of future application submissions for review and comments.

**Contact Information:**

Walter Knerr  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Bureau of Maintenance and Operations  
 Phone: (717) 783-6473  
 E-mail at: wknerr@dot.state.pa.us.

You may also contact your local PENNDOT district office or visit PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us), Keyword: Land Use.

**PennPlan****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

**Description of Program:**

PennPlan is the blueprint for all modes of transportation – highways, transit, passenger rail, freight rail, air and water facilities, and bicycle and pedestrian paths – and how these modes will interact and interconnect to form a system in the next quarter century in Pennsylvania. PennPlan identifies transportation directions, the mechanisms to measure progress toward objectives, and the means to achieve success.

**Program Requirements:**

Project consistency with PennPlan may receive a higher priority in programming.

**Program Availability:**

PennPlan is available to Municipal Planning Organizations (MPO), Local Development Districts (LDD), county planning commissions and the general public.

**Contact Information:**

Jim Smedley  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Department of Planning, Center  
 for Program Development and Management  
 Phone: (717) 772-1772  
 E-mail: smedley@dot.state.pa.us

PennPlan is also available on PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us), select General Information, then Programs & Initiatives, then PennPlan.

**Model Ordinances****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

**Description of Program:**

This program is still in its development stage. Model ordinances such as access management, noise and interchange will be developed by PENNDOT and made available for municipalities to adopt. Model ordinances are expected to be available in 2003.

**Program Requirements:**

Municipalities are encouraged to adopt these various model ordinances to enable them to control access, noise and interchange developments.

**Program Availability:**

Training on the use and benefits of these ordinances may be made available to help implementation.

**Contact Information:**

For more information on this developing program, contact:

Angela Watson  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Department of Planning, Center  
 for Program Development and Management  
 Phone: (717) 787-5798  
 E-mail: awatson@dot.state.pa.us

When completed, model ordinances will be made available via PENNDOT's website.

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**Bicycling Directory of Pennsylvania**
**Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Highway Safety and Traffic Engineering, Safety Management Division

**Description of Program:**

The Bicycling Directory is designed to provide a comprehensive listing of bicycling services and resources for both visitors and residents in Pennsylvania. The Bicycling Directory also encourages people to consider the bicycle as a viable mode of transportation. In addition, Touring Corridors provide six Bicycle PA routes for recreation on your bicycle.

**Program Requirements:**

There are no requirements to receive benefits under this program.

**Program Availability:**

Listed individuals and organizations have agreed to provide guidance, assistance and information regarding where to go, how to get there, and what to expect on a Pennsylvania cycling adventure.

**Contact Information:**

Dave Bachman  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Bureau of Highway Safety and Traffic Engineering, Safety Management Division  
 Phone: (717) 783-8444  
 E-mail at: dbachma@dot.state.pa.us

The Directory is also available on PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us).

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**Unified Planning Work Program**
**Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

**Description of Program:**

A Unified Planning Work Program (UPWP) is developed by the Department of Planning, Center for Program Development and Management annually. The UPWP outlines the work to be undertaken each state fiscal year, the end products of that work, and the cost associated with each task. Funding is determined by formulas based on population, transportation system complexity, air quality and other specific needs, and distributed to county and regional planning agencies.

**Program Requirements:**

Funds are provided to collect and analyze data, conduct long range transportation planning, perform needs studies, do congestion management system planning and to prioritize and program projects.

Work Programs must be submitted to PENNDOT by the end of February each year. A notice to proceed is usually given by July 1st.

**Program Availability:**

County and Regional Planning Agencies may apply for funding. Funds are provided only on a reimbursement basis.

**Contact Information:**

Tom Kotay  
Pennsylvania Department  
of Transportation (PENNDOT)  
Department of Planning, Center  
for Program Development and Management  
Phone: (717) 787-7335  
E-mail: kotay@dot.state.pa.us.

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**Transportation Project/Land Use  
Planning Coordination Initiative****Program Sponsor:**

Pennsylvania Department of Transportation  
(PENNDOT), Department of Planning, Center for  
Program Development and Management

**Description of Program:**

Federal Planning funds are available for transporta-  
tion impact studies, corridor studies, comprehensive  
plan updates, major project/land use coordination  
and other studies which involve the consideration of  
land use.

**Program Requirements:**

Study proposals must be submitted through an  
Municipal Planning Organization (MPO) /Local  
Development District (LDD) or Independent county.  
Funding is available for up to 80% of project/study  
costs; a 20% local or other funding match is  
required.

**Program Availability:**

Municipalities, counties, MPO/LDD/independent  
counties and other planning organizations are eligi-  
ble for funding. Funds are available on a statewide  
competitive basis. Funding priorities are established  
by PENNDOT on an annual basis.

Funding decisions are made by PENNDOT in March  
of each year. Funds are distributed on July 1st.

**Contact Information:**

Angela Watson  
Pennsylvania Department  
of Transportation (PENNDOT)  
Department of Planning, Center  
for Program Development and Management  
Phone: (717) 787-5798  
E-mail: awatson@dot.state.pa.us.

Information is also available on PENNDOT's  
website at [www.dot.state.pa.us](http://www.dot.state.pa.us), select General Infor-  
mation, then Programs & Initiatives, then Land Use.

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**Pennsylvania Infrastructure Bank****Program Sponsor:**

Pennsylvania Department of Transportation  
(PENNDOT) Center for Program Development and  
Management

**Description of Program:**

The Pennsylvania Infrastructure Bank is a  
PENNDOT operated program of low interest loans  
to assist in the funding of transportation improve-  
ments around the Commonwealth.

**Program Requirements:**

Most capital projects are eligible. They include new  
construction of, and improvements to, highways and  
bridges, transit and rail-passenger facilities and other  
transportation infrastructure. Construction projects  
receive the highest priority for funding.

- Projects should meet all federal, state and local  
planning, environmental and programming  
requirements.
- Applicants should be able to substantiate the  
project thoroughly demonstrates congestion  
reduction, mobility and access, environmental,  
safety and /or economic benefits. Projects  
with more than one benefit may be given a  
higher priority.

The Department can only loan state funds for  
projects that are part of the state-owned highway  
system or for projects that are normally eligible for  
state funds.

**Program Availability:**

Municipalities, counties, state government entities, public authorities, regional councils and private groups making public improvements to transportation facilities may apply. The amount of assistance will be established on a project by project basis.

Repayment terms are established on a project-specific basis, but should not exceed a maximum of ten years. PENNDOT encourages a repayment term of five years or less.

**Contact Information:**

More information, including the Pennsylvania Infrastructure Bank Loan Application and Pennsylvania Infrastructure Bank Operating Manual, is available on PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us), Keyword: Infrastructure Bank.

Contact:  
Jim Smedley  
Pennsylvania Department  
of Transportation (PENNDOT)  
Center for Program Development  
and Management  
Phone: (717) 772-1772  
E-mail: [smedley@dot.state.pa.us](mailto:smedley@dot.state.pa.us)

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**Transportation Management Association (TMA) Funding****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT) Department of Planning, Center for Program Development and Management

**Description of Program:**

A Transportation Management Association (TMA) is a non-profit organization whose mission is to develop and implement programs and projects aimed at reducing congestion and/or improving air quality. TMAs work with PENNDOT and local employers to implement these projects. They are funded with Congestion Mitigation Air Quality (CMAQ) funds through the regional Municipal Planning Organizations.

**Program Requirements:**

Only ozone non-attainment or maintenance areas are eligible for CMAQ funds. TMAs must be incorporated as a section 501(c) (3) or (4) organization at the time of application. An emissions analysis is required for CMAQ funds.

**Program Availability:**

CMAQ funds are allocated to MPOs.

**Contact Information:**

For more information contact your area MPO. Or you may contact:

Mike Baker  
Pennsylvania Department  
of Transportation (PENNDOT)  
Center for Program Development  
and Management  
Phone: (717) 772-0796  
E-mail: [mbaker@dot.state.pa.us](mailto:mbaker@dot.state.pa.us)

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**Transit Research & Demonstration Program****Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Bureau of Public Transportation

**Description of Program:**

This program provides financial assistance for innovative projects that enhance the attractiveness of public transportation.

**Program Requirements:**

Research projects are those that propose to study a situation or operational function to improve the transit agency's ability to be more efficient and to increase ridership. They are limited to the maximum amount of \$50,000.

Demonstration projects are those that will actually be implemented with R&D program funding. These could involve innovative service delivery or advanced technology to improve transit operations, with the goal of increasing ridership and improving customer service and productivity.

**Program Availability:**

Eligible applicants include local transportation organizations within the Commonwealth of Pennsylvania and educational institutions, Regional Planning Commissions and private firms providing goods and services to the transit industry (provided that they obtain a local transit agency as a sponsor).

Approved projects are generally eligible for up to 80% of funding. The applicant is responsible for the remaining 20%.

**Contact Information:**

Chris Johnston  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Bureau of Public Transportation  
 Phone: (717) 705-1493  
 E-mail: [cjohnst@dot.state.pa.us](mailto:cjohnst@dot.state.pa.us).

Additional information is available on PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us).

Select: Bureau of Public Transportation in the organization search, then select Transit Research, then Transit Research & Demonstration Program.

## Transportation Enhancements Program

**Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

**Description of Program:**

The Transportation Enhancements Program is designed to fund transportation related projects that are over and above what is considered routine construction and maintenance.

**Program Requirements:**

Projects must fall into one or more of the twelve eligible categories established in the Transportation Equity Act for the 21st Century. Within these categories, projects must have a relationship to the surface transportation system. An example would

be the rehabilitation of an historic train station. Also, a project may function as a component of a transportation system, such as a bike/pedestrian path. Proposals must be for a complete, identifiable and usable facility or activity. Funds are not available for partial projects that cannot function as a complete and useful activity. Funding is available, however, for a particular phase of a multi-phase project. Funds are available for design, acquisition, utility relocation or construction of projects.

Project sponsors may be municipalities or not-for-profit organizations. It is recommended that non-municipal project sponsors strongly consider working through a local or county government entity.

**Program Availability:**

A project must address a transportation need, use or benefit. For example, creating a pedestrian or bike path adjacent to, or separate but parallel to, an existing roadway addresses a transportation need. While the restoration of an historic building may create a tourist attraction, it is only eligible for funding if it is an historic transportation facility or transportation museum, or if there is a transportation use, need or benefit.

**Contact Information:**

Dan Accurti  
 Pennsylvania Department  
 of Transportation (PENNDOT)  
 Department of Planning  
 Center for Program Development  
 and Management  
 Phone: (717) 783-2258  
 E-mail at: [daccurt@dot.state.pa.us](mailto:daccurt@dot.state.pa.us).

Information is also available on PENNDOT's website at [www.dot.state.pa.us](http://www.dot.state.pa.us), select General Information, then Programs & Initiatives, then Transportation Enhancement Program.

## **Municipal Planning Organization Planning and Programming**

### **Program Sponsor:**

Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

### **Description of Program:**

PENNDOT provides financial guidance to Municipal Planning Organization, Local Development Districts and independent counties for the update of a region's transportation program. Programs are developed or updated every two years to represent the next four years of transportation projects.

### **Program Requirements:**

Potential transportation projects need to be submitted to a region's MPO, LDD or independent county for consideration. Selected projects are included in the region's Transportation Improvement Program.

Projects must be included on a region's Transportation Improvement Program in order to be included in the State Transportation Improvement Program. All transportation projects must be "programmed" to receive transportation funds.

### **Program Availability:**

Municipalities, counties, MPOs, LDDs and independent counties may recommend transportation projects.

### **Contact Information:**

For more information, contact your region's MPO, LDD or independent county planning agency. You may also contact:

Dennis Lebo  
Pennsylvania Department  
of Transportation (PENNDOT)  
Department of Planning  
Center for Program Development  
and Management  
Phone: (717) 787-5246  
E-mail: [dlebo@dot.state.pa.us](mailto:dlebo@dot.state.pa.us)

## **Intelligent Transportation Systems**

### **Program Sponsor:**

Pennsylvania Department of Transportation (PENNDOT), Department of Planning, Center for Program Development and Management

### **Description of Program:**

Intelligent Transportation Systems (ITS) are designed to improve the movement of people and goods by deploying technology. Examples of ITS technology include traffic monitoring, incident detection and smart vehicles. The deployment of ITS improves safety, reduces congestion, and reduces the need to implement expensive capacity increasing projects.

### **Program Requirements:**

To be eligible for federal and/or state funding, ITS projects must be included on an Municipal Planning Organization, Local Development District or independent county Transportation Improvement Program.

### **Program Availability:**

ITS can be deployed statewide. ITS studies are eligible for federal and state transportation funds.

### **Contact Information:**

For more information, contact your region's MPO, LDD or independent county planning agency. Or you may contact:

Dennis Lebo  
Pennsylvania Department  
of Transportation (PENNDOT)  
Department of Planning  
Center for Program Development  
and Management  
Phone: (717) 787-5246  
E-mail: [dlebo@dot.state.pa.us](mailto:dlebo@dot.state.pa.us)

# Historic Preservation

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Cultural resources can be archeological and historic sites or an historic landscape. However defined, these resources shape our communities and give them character. They serve as an important educational and economic or tourism asset.

## Technical Assistance

- Technical Assistance Grant

## Financial Assistance

- Certified Local Government Grant Program
- Keystone Historic Preservation Grant Program
- Pennsylvania History and Museum Grant Program
- Historic Preservation Grants
- Statewide Conference Grants

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### Pennsylvania Historical and Museum Commission

The Pennsylvania Historical and Museum Commission (PHMC) is the Commonwealth's official "history agency." The Bureau for Historic Preservation is part of PHMC and serves as the State Historic Preservation Office (SHPO). The role of the Bureau is to identify and protect the architectural and archaeological resources of Pennsylvania. The Bureau has the responsibility to work with individuals, communities, local governments and state and federal agencies to educate Pennsylvanians about the state's heritage and its value, to build better communities through preservation, to provide strong leadership, both individually and through partnerships, and to insure the preservation of Pennsylvania's heritage.



## Technical Assistance Grant

### Program Sponsor:

Pennsylvania Historic Museum Commission (PHMC), Bureau of Historic Preservation

### Description of Program:

This grant program is designed to help a wide range of applicants acquire skills to undertake projects for organizations, including preservation planning, long range planning and developing a project scope in preparation for applying for a PHMC grant. The grants bring experts in the field to the organization's sites or provide staff training.

### Program Requirements:

Eligible organizations must meet the following requirements:

1. Must be located in Pennsylvania.
2. Must be a local government or have a tax-exempt status.
3. Must be incorporated and in existence for two years prior to submission of a grant application.
4. If applicable, must be registered with the Pennsylvania Commission on Charitable Organizations.

### Program Availability:

Organizations with general operating budgets of less than \$250,000 may apply for technical assistance. Organizations with general operating budgets over \$250,000 may apply if they are acting as a mentor for a smaller group. Organizations such as colleges and universities, conservancies, historical societies, local governments, museums, preservation organizations and schools and school districts are eligible to apply.

Grant awards will not exceed \$1,500. Applicants may apply for and receive more than one Technical Assistance Grant in any one year.

### Contact Information:

Applicants for this grant are required to use the web-based electronic grant application process. Exceptions are generally only made for those applicants who do not have the necessary equipment. The electronic grant application can be completed by accessing [www.artsnet.org/phmc](http://www.artsnet.org/phmc) and then selecting "egrants."

Additional information can be obtained by calling:

Michel R. Lefevre  
Phone: 1-800-201-3231, or (717) 787-0771  
E-mail: [mlefevre@state.pa.us](mailto:mlefevre@state.pa.us)

## Certified Local Government Grant Program

### Program Sponsor:

Pennsylvania Historic Museum Commission (PHMC), Bureau for Historic Preservation

### Description of Program:

This program provides technical and funding assistance to local governments in order to enhance their ability to implement a historic preservation approach to sound land use planning and regulation. This program is funded by the National Historic Preservation Fund.

### Program Requirements:

Funding under this program is limited to federally designated Certified Local Governments (CLG). There is only one type of grant. The competitive process awards funding in the categories of cultural resource surveys, National Register nominations, technical and planning assistance, educational and interpretive programs, staffing and training, and pooling CLG grants and third party administration.

### Program Availability:

Certified Local Government Grants require a 60/40 match. The historic preservation grant can reimburse up to 60% of the total project. In-kind contributions may be used to satisfy the 40% local match. However, cash matches are strongly encouraged and will be considered in the evaluation process.

The maximum award under this program is \$25,000.  
Contact Information:

Applicants for this grant are required to use the web-based electronic grant application process. Exceptions are generally only made for those applicants who do not have the necessary equipment. The electronic grant application can be completed by accessing [www.artsnet.org/phmc](http://www.artsnet.org/phmc) and then selecting “egrants.”

Additional information can be obtained by calling:

Certified Local Government  
Grant Administrator  
Michel R. Lefevre  
Phone: 1-800-201-3231, or (717) 787-0771  
E-mail: [mlefevre@state.pa.us](mailto:mlefevre@state.pa.us)

Certified Local Government Grant Manager  
Janice E. Stramara  
Phone: (717) 783-2838  
E-mail: [jstramara@state.pa.us](mailto:jstramara@state.pa.us)

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## **Keystone Historic Preservation Grant Program**

### **Program Sponsor:**

Pennsylvania Historic Museum Commission (PHMC)

### **Description of Program:**

This program provides funding for preservation, restoration and rehabilitation projects of historic resources listed in or eligible for listing in the National Register for Historic Places.

### **Program Requirements:**

Funding under this program is available to nonprofit organizations and local governments for capital improvements on historic resources listed in or eligible for listing in the National Register of Historic Places. (Private property owners are not eligible for funding under this program.)

Preservation covenants are required on all properties receiving funding from the PHMC.

### **Program Availability:**

Grants will be funded at 50%. Projects under \$5,000 and over \$100,000 may be considered at the Commission’s discretion. Grant funding is supported annually with realty transfer tax revenue.

Application deadlines may be obtained by visiting the PHMC Grants Website at [www.artsnet.org/phmc](http://www.artsnet.org/phmc) or by calling 1-800-201-3231.

### **Contact Information:**

Applicants for this grant are required to use the web-based electronic grant application process. Exceptions are generally only made for those applicants who do not have the necessary equipment. The electronic grant application can be completed by accessing [www.artsnet.org/phmc](http://www.artsnet.org/phmc) and then selecting “egrants.”

Additional information can be obtained by calling:

Keystone Historic Preservation  
Grant Administrator  
Bryan Van Sweden  
Phone: (717) 772-5071  
E-mail: [bvansweden@state.pa.us](mailto:bvansweden@state.pa.us)

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## **Pennsylvania History and Museum Grant Program**

### **Program Sponsor:**

Pennsylvania Historic Museum Commission (PHMC)

### **Description of Program:**

Funding under this program is designated to support a wide variety of museum, history, archives and historic preservation projects, as well as nonprofit organizations and local governments. There are 10 types of grants, among which the following support the Growing Smarter Initiatives. For a complete listing, go to the PHMC Grant website at [www.artsnet.org/phmc](http://www.artsnet.org/phmc).

1. Historic Preservation Grants – Grants are available in differing amounts to support cultural resource surveys, National Register

nominations, planning and development assistance, educational and interpretive programs and archaeology.

2. PHMC Technical Assistance Grants – These grants assist organizations in solving problems, increasing professionalism and building capacity.
3. PHMC Statewide Conference Grants – This grant provides monies to organizations that plan and hold conferences relating to issues concerning the history, museum, historic preservation and cultural communities of Pennsylvania.

For additional information, please refer to the program entry under each grant program title.

## Historic Preservation Grants

### Program Sponsor:

Pennsylvania Historic Museum Commission (PHMC)

### Description of Program:

These grants are available in two different amounts to support projects in the categories of cultural resource surveys, National Register nominations, planning and development assistance, educational and interpretive programs and archaeology.

### Program Requirements:

Eligible organizations must meet the following requirements:

1. Must be located in Pennsylvania.
2. Must be a local government or have a tax-exempt status.
3. Must be incorporated and in existence for two years prior to submission of a grant application.
4. If applicable, must be registered with the Pennsylvania Commission on Charitable Organizations.

### Program Availability:

Organizations such as colleges and universities, conservancies, historical societies, local governments, museums, other historical organizations, museums and historic sites owned by the PHMC and operated by independent nonprofit organizations and multi-purpose organizations may apply for funding.

There are two levels of funding for Historic Preservation Grants:

1. Grants in amounts up to and including \$5,000 require no matching funds.
2. Grants in amounts of \$5,001 up to and including \$15,000 require 50/50 matching funds. In-kind contributions may be used to satisfy the local match requirement. However, cash matches are strongly encouraged and will be considered in the evaluation process.
3. Grant awards will not exceed \$15,000.

### Contact Information:

Applicants are encouraged to discuss their project ideas with the appropriate grant manager or administrator.

Additional information can be obtained by calling:

Historic Preservation Grant Manager  
Carol Lee  
Phone: 1-800-201-3231 or (717) 783-9918  
E-mail: [calee@state.pa.us](mailto:calee@state.pa.us)

Applicants for this grant are required to use the web-based electronic grant application process. Exceptions are generally only made for those applicants who do not have the necessary equipment. The electronic grant application can be completed by accessing [www.artsnet.org/phmc](http://www.artsnet.org/phmc) and then selecting “egrants.”

## **Statewide Conference Grants**

### **Program Sponsor:**

Pennsylvania Historic Museum Commission  
(PHMC)

### **Description of Program:**

Statewide Conference Grants require no match and are awarded as “seed” money to organizations that plan and hold conferences relating to issues concerning the history, museum, historic preservation and cultural communities of Pennsylvania.

### **Program Requirements:**

Organizations such as colleges and universities, educational institutions, historical organizations and societies, historical preservation organizations, libraries (public and private), local governments, museums and other related organizations may apply for funding.

To apply for a Statewide Conference Grant, an organization must have tax-exempt status or be an entity of local government. Additionally, the organization must be incorporated and in existence for at least two years prior to the submission of the grant application and must be registered with the Pennsylvania Commission on Charitable Organizations, as required.

Conferences must be held in Pennsylvania and must appeal to a statewide, regional or national audience.

### **Program Availability:**

Grant awards are subject to the annual availability of funds from the Commonwealth of Pennsylvania.

### **Contact Information:**

Applicants for this grant are required to use the web-based electronic grant application process. Exceptions are generally only made for those applicants who do not have the necessary equipment. The electronic grant application can be completed by accessing [www.artsnet.org/phmc](http://www.artsnet.org/phmc) and then selecting “egrants.”

Additional information can be obtained by calling:

Ira F. Smith III  
Pennsylvania Historic Museum  
Commission (PHMC )  
Phone: 1-800-201-3231 or (717) 787-9927  
E-mail: [irsmith@state.pa.us](mailto:irsmith@state.pa.us)



# Urban Revitalization

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Despite a movement of growth outside of Pennsylvania's urban cores, the states downtowns remain cultural, historical, educational, architectural and entertainment centers. Revitalizing the downtown communities support the foundation of the Commonwealth and its social and economic viability.

## Financial Assistance

- Main Street Program
- New Communities Program
- Keystone Opportunity Zones/Keystone Opportunity Expansion Zones
- Enterprise Zone Program

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### The Department of Community and Economic Development (DCED)

The Department of Community and Economic Development works to foster opportunities for businesses and communities to succeed and thrive in a global economy, thereby enabling Pennsylvanians to achieve a superior quality of life. To ensure growth and development in our businesses and communities across Pennsylvania, DCED is the cornerstone to a large number of programs focused specifically on building Pennsylvania's economy, empowering its communities and supporting its local governments.

For access to information about DCED and its programs visit [www.inventpa.com](http://www.inventpa.com). This web site has been developed to help businesses, local governments and individuals harness the power of the web to quickly and easily find the programs, initiatives and assistance that are available.

### Pennsylvania Department of Revenue

The Department of Revenue works to revive economically distressed urban and rural communities with one of the most powerful market-based incentives – eliminating taxes. Programs such as the Keystone Opportunity Zones (KOZ) and the Keystone Opportunity Expansion Zones (KOEZ) provide priority status to businesses for various state and local community-building assistance programs. The KOZ/KOEZ initiatives are jointly administered by the Department of Revenue and the Department of Community and Economic Development (DCED). It is through these partnerships that the Department of Revenue and DCED can work together to ensure growth and development for businesses and communities in the Commonwealth of Pennsylvania.

## **Main Street Program**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED)

### **Description of Program:**

This five-year program is designed to help a community's downtown economic development effort through the establishment of a local organization dedicated to downtown revitalization, and the management of downtown revitalization efforts by hiring a full-time professional downtown coordinator. The Downtown Reinvestment Component uses business district strategies to support eligible commercial-related projects located within a central or neighborhood business district. This program has been merged into the New Communities Program.

### **Program Requirements:**

For the Main Street Program, administrative costs associated with the hiring of a coordinator and operating the office and design/facade are granted to private property owners within the target area; for the Downtown Reinvestment Component, physical improvements that are supported by a plan with clearly documented public benefit.

### **Program Availability:**

Generally, a municipality is the applicant for the Main Street Manager Component. Municipalities and redevelopment authorities are the eligible applicants for the Downtown Reinvestment Component. In limited cases, a Main Street nonprofit or Business District Authority with two years of audited records may apply for the funds.

A match is required for funding on the Main Street Component. For the Downtown Reinvestment and Anchor Building components, at least two thirds of total development costs must be committed.

### **Contact Information:**

Diana Kerr  
Office of Community Development  
Phone: 717-720-7411  
E-mail: dikerr@state.pa.us.

Or, visit DCED's home page at [www.inventpa.com](http://www.inventpa.com). Select Communities in PA, then the Community Resource Directory.

## **New Communities Program**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED)

### **Description of Program:**

This program provides grants to support the Enterprise Zone and Main Street Programs and downtown businesses providing technical and financial assistance to communities.

### **Program Requirements:**

There are no terms or conditions to this program.

### **Program Availability:**

Funding under this program is available to general purpose local governments, redevelopment authorities, economic development agencies, and qualified nonprofit community development agencies.

Eligible uses for funding include administrative support of business development in downtown and business park areas, downtown façade renovations, loan capital for property acquisition and improvements, equipment purchases and modernization.

### **Contact Information:**

Aldona Kartorie  
Office of Community Development  
Phone: (717) 787-7409  
E-mail: akartorie@state.pa.us

Applications are available at: [www.inventpa.com](http://www.inventpa.com). Select Communities in PA, then Building Better Communities, then Community Resources. This program utilizes the single application process.

## **Keystone Opportunity Zones (KOZ) and Keystone Opportunity Expansion Zones (KOEZ)**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED)

### **Description of Program:**

Keystone Opportunity Zones (KOZ) and Keystone Opportunity Expansion Zones (KOEZ) are geographic areas that are virtually free of state and local taxes. A KOZ/KOEZ is given priority for various state and local community-building assistance programs. The goal of the KOZ/KOEZ is to revive economically distressed urban and rural communities with one of the most powerful market-based incentives – eliminating taxes.

Pennsylvania is comprised of 12 KOZ regions. Within each region, there are KOZ subzones and specific properties identified. To view Pennsylvania's KOZ regions, go to:  
<http://koz.inventpa.com//find.html>

The KOZ/KOEZ initiatives are jointly administered by the Department of Revenue and the Department of Community and Economic Development (DCED).

### **Program Requirements:**

In order to receive benefits, under this program, you must become qualified and receive state certification. Businesses, property owners and residents must be fully compliant with all local and state taxes as well as building and zoning codes in order to be eligible.

### **Program Availability:**

To receive KOZ/KOEZ tax benefits a KOZ/KOEZ application must be completed and submitted by December 31 of each calendar year for which benefits are sought. The Zone Coordinator for each region will instruct applicants where to file the application.

### **Contact Information:**

For additional information, visit the Pennsylvania Department of Revenue's KOZ website at

<http://www.revenue.state.pa.us> or DCED's website at <http://koz.inventpa.com//index.html>.

Contact:  
Ryan Kociolek  
Department of Community and Economic Development (DCED)  
Office of Community Development  
Phone:(717) 720-7344

## **Enterprise Zone Program**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED)

### **Description of Program:**

The Enterprise Zone Program provides grants to financially disadvantaged communities for preparing and implementing business development strategies within municipal Enterprise Zones (EZ). The objectives of EZs are to improve a zone's business climate and to enable local governments to facilitate growth and employment opportunities. Additionally, the program is designed to help local governments and business communities form public-private partnerships to develop and sustain private investments and job creation. There are currently 29 Enterprise Zones within the state.

This program has been merged into the New Communities Program.

### **Program Requirements:**

Financially disadvantaged communities are eligible to receive assistance under this program.

### **Program Availability:**

Municipalities and redevelopment authorities are eligible for funding to assist in business development surveys, business development strategy/preparation and revolving fund business loans.

### **Contact Information:**

For more information, visit DCED's website at [www.inventpa.com](http://www.inventpa.com).





# Affordable Housing

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In order to make the Commonwealth a better place to live and foster community and economic development, decent, safe and affordable homes are needed. This includes housing for older adults, persons of modest means and those persons with special housing needs. When communities and government work together to encourage market-sensitive and innovative land use planning concepts in urban areas, opportunities for homeownership and the transformation of distressed urban neighborhoods into attractive places to live are realized. These efforts offer Pennsylvanians a viable alternative to suburbia and sprawl development.

## Financial Assistance

- Homeownership Choice Demonstration Program
- Single Family Homeownership (Single Family) Program
- Multifamily Rental Housing Program

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives:

### The Pennsylvania Housing Finance Agency

The Pennsylvania Housing Finance Agency (PHFA) is Pennsylvania's leading provider of capital for affordable homes and apartments. In order to make the Commonwealth a better place to live while fostering community and economic development, PHFA provides capital for decent, safe and affordable homes and apartments for older adults, persons of modest means and those persons with special housing needs. PHFA provides a variety of programs that support community and economic development in Pennsylvania's communities.

## **Homeownership Choice Demonstration Program**

### **Program Sponsor:**

Pennsylvania Housing Finance Agency (PHFA)

### **Description of Program:**

The Homeownership Choice Demonstration Program (HCP) is designed to provide funds for the development of single family homes for purchase in urban communities. The HCP is intended to be a part of a municipality's comprehensive approach to increase the net investment in housing in urban areas while building mixed-income communities and encouraging diversity of homeownership.

### **Program Requirements:**

In order to achieve measurable impact, developments need to be conceived within the context of the overall strategic plans designed to produce significant scale. Therefore, PHFA has established 10 guiding principles for the HCP that coordinate program funding with efforts to address other factors that contribute to negative urban environments (i.e., unemployment, crime, lack of green or open space, etc.). The design of the proposed housing development must address the 10 Guiding Principles of the Program, which are found on PHFA's website at: [http://www.phfa.org/rfp/HCP\\_RFP\\_02152002.htm](http://www.phfa.org/rfp/HCP_RFP_02152002.htm).

To apply for funding, a proposal must be submitted to PHFA. Guidelines for proposal content and submission requirements are also available on PHFA's website at: [http://www.phfa.org/rfp/HCP\\_RFP\\_02152002.htm](http://www.phfa.org/rfp/HCP_RFP_02152002.htm).

### **Program Availability:**

Funding is available for joint effort between a for-profit builder / developer; local, non-governmental nonprofit builder / developer or Community Development Corporation (CDC) which has been previously engaged in housing development in the community; and the local government. Proposals coming from first class cities must be generated through and submitted by the municipal government.

For-profit homebuilders / developers should work in partnership with local nonprofit builder / developers or Community Development Corporations (CDCs).

This program is available to municipalities.

### **Contact Information:**

For proposal guidelines and submission requirements, visit PHFA's website at: <http://www.phfa.org/news/hcp.htm>.

Robert Bobincheck  
Pennsylvania Housing Finance Agency (PHFA)  
Phone: (717) 780-1801 or by  
E-mail: [bbobincheck@phfa.org](mailto:bbobincheck@phfa.org)

## **Single Family Homeownership (Single Family) Program**

### **Program Sponsor:**

Pennsylvania Housing Finance Agency (PHFA)

### **Description of Program:**

The Single Family Homeownership (Single Family) Program enables low- and moderate-income households to purchase new or existing homes by providing below-market interest rate financing through private lending institutions. The Single Family Program also provides consumer education and credit counseling for low and moderate-income households who might otherwise not achieve their goals of homeownership.

Under this program there are 10 separate programs. They are:

1. PHFA/Fannie Mae Disability Access Modification Loan Program
2. Statewide Homeownership Program
3. Lower Income Homeownership Program
4. Closing Cost Assistance Program
5. Homestead Second Mortgage Program
6. Access Home Modification Program
7. Access Down Payment and Closing Cost Assistance Loan Program

8. Joint Financing Program
9. FHA 203(k) Program
10. Purchase-Improvement Program

Each program is described on PHFA's website at: [www.phfa.org/programs/singlefamily/index.html](http://www.phfa.org/programs/singlefamily/index.html).

### **Program Requirements:**

Each program has its own prerequisites, qualifications and specifications. Program requirements can be viewed by clicking on the appropriate link at [www.phfa.org/programs/singlefamily/index.html](http://www.phfa.org/programs/singlefamily/index.html).

### **Program Availability:**

Funding is provided to homebuyers who meet the program eligibility requirements.

### **Contact Information:**

Donald J. Plunkett  
Pennsylvania Housing Finance Agency (PHFA)  
Phone: (717) 780-3800 or 1-800-822-1174

Visit PHFA's website at <http://www.phfa.org>

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## **Multifamily Rental Housing Program**

### **Program Sponsor:**

Pennsylvania Housing Finance Agency (PHFA)

### **Description of Program:**

Assistance under this program takes the form of loans and tax credits to developers for rental housing construction and rehabilitation and technical assistance to sponsors and applicants who plan to submit funding requests for proposed development.

The Multifamily Rental Housing Program consists of several programs. These include:

- Construction Loan Program
- PennHOMES Program
- Taxable and Tax-exempt Bond Financing
- Low Income Housing Tax Credit Program

Information on each of these programs is available on PHFA's website at: [www.phfa.org/programs/multifamily/index.htm](http://www.phfa.org/programs/multifamily/index.htm)

### **Program Requirements:**

Each program has its own prerequisites, qualifications and specifications. Program requirements can be viewed by clicking on the appropriate link at [www.phfa.org/programs/multifamily/index.htm](http://www.phfa.org/programs/multifamily/index.htm).

### **Program Availability:**

Programs under the Multifamily Rental Housing Program are available to assist private developers, nonprofit organizations and local governments that provide and expand affordable housing opportunities for Pennsylvania's citizens.

### **Contact Information:**

For program applications and information on application deadlines, visit PHFA's website at [www.phfa.org/programs/multifamily/index.htm](http://www.phfa.org/programs/multifamily/index.htm) and click on the appropriate link for each program.

David Evans  
Pennsylvania Housing Finance Agency (PHFA)  
Phone: (717) 780-3800



# Brownfields and Land Recycling

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The Pennsylvania Brownfield Program encourages the cleanup and redevelopment of industrial and commercial sites and putting these sites back into production in a way that is safe for both workers and the community. Additionally, this preserves farmland and open space.

## Financial Assistance

- Brownfield Inventory Grants Under the Hazardous Sites Cleanup Act
- Brownfield Tax Incentive Program
- Keys Sites Initiative

## Agencies Working to Support Pennsylvania's Growing Smarter Initiatives

### Pennsylvania Department of Environmental Protection

The Pennsylvania Department of Environmental Protection (DEP) is the state agency largely responsible for administering Pennsylvania's environmental laws and regulations. DEP's responsibilities include: reducing air pollution; making sure the drinking water is safe; protecting water quality in Pennsylvania's rivers and streams; making sure waste is handled properly; managing the Commonwealth's recycling programs and helping citizens prevent pollution and comply with the Commonwealth's environmental regulations. DEP is committed to general environmental education and encouraging effective public involvement in setting environmental policy. To meet its responsibilities, DEP works as a partner with individuals, organizations, governments and businesses to prevent pollution and restore Pennsylvania's natural resources.

## **Brownfield Inventory Grants Under the Hazardous Sites Cleanup Act**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP) Bureau of Land Recycling and Waste Management

### **Description of Program:**

This grant provides municipalities and economic development agencies funding to inventory brownfield properties in their area. Grantees gather information about the properties available for redevelopment including information about existing infrastructure, suspected or confirmed environmental contamination, and other related site information and post it to the PA Site Finder Directory at <http://www.pasitefinder.state.pa.us>.

### **Program Requirements:**

Each brownfield site identified and inventoried by approved grantees is eligible for one reimbursement of \$1,000 per site with a maximum amount of \$50,000 allowable per grantee.

### **Program Availability:**

The grant is available to counties, municipalities and economic development agencies. Applications are accepted annually and deadlines are announced in the Pennsylvania Bulletin, DEP UPDATE newsletter and on the DEP website <http://www.dep.state.pa.us>

### **Contact Information:**

Grant applications can be downloaded from the DEP website at:

<http://www.dep.state.pa.us/dep/deputate/airwaste/wm/landrecy/Inventory/BIG.htm>.

Contact:

Craig Olewiler

Department of Environmental Protection

Phone: (717) 783-7816

E-mail: [colewiler@state.pa.us](mailto:colewiler@state.pa.us)

## **Brownfield Tax Incentive**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Land Recycling and Waste Management

### **Description of Program:**

The Brownfield Tax Incentive is a federal initiative designed to spur cleanup and redevelopment of brownfields. Environmental cleanup costs for eligible properties may be treated as fully deductible business expenses for the year in which costs are incurred or paid.

### **Program Requirements:**

Eligible properties must meet two requirements:

1. The property must be held by the taxpayer incurring the eligible expenses for use in a trade or business, or for the production of income, or the property must be properly included in the taxpayer's inventory.
2. A release or threat of release or disposal of any hazardous substance at or on the property.

Note: sites on EPA's National Priorities List (Superfund List) are excluded.

### **Program Availability:**

Eligible costs must be incurred or paid between August 5, 1997 and December 31, 2003.

### **Contact Information:**

J. Thomas Leaver, Outreach Specialist

Pennsylvania Department  
of Environmental Protection (DEP)

Phone: (717) 783-7816

E-mail: [jleaver@state.pa.us](mailto:jleaver@state.pa.us)

## **Key Sites Initiative**

### **Program Sponsor:**

Pennsylvania Department of Environmental Protection (DEP), Bureau of Land Recycling and Waste Management

### **Description of Program:**

Key Sites Initiative is an element of DEP's award winning land recycling program. It assists municipalities and non-profit economic development agencies by providing consulting services to carry out environmental site assessments and prepare cost estimates for cleanup plans in order to make a redevelopment project feasible. This helps to facilitate the voluntary cleanup and reuse of abandoned industrial properties, especially in smaller municipalities that have limited resources.

### **Program Requirements:**

Municipalities and nonprofit economic development agencies that own abandoned properties are eligible to receive these services. Special emphasis is placed on smaller municipalities and agencies that do not have the resources to complete site assessments or cost estimates.

Municipalities or nonprofit entities must demonstrate that the proposed project complies with local land use, zoning and subdivision ordinances.

### **Program Availability:**

Applicants must submit a Letter of Intent (LOI) to the Environmental Cleanup Program Manager in the appropriate DEP regional office. The LOI outlines a general description of the project and its anticipated public benefits.

### **Contact Information:**

Tom Fidler  
Pennsylvania Department  
of Environmental Protection (DEP)  
Phone: (717) 783-7816  
E-mail: [tfidler@state.pa.us](mailto:tfidler@state.pa.us)

Regional Environmental Cleanup Managers can be located at:

<http://www.dep.state.pa.us/dep/deputate/airwaste/wm/landrecy/FACTS/REGION.HTM#anchor950544>.





# Intergovernmental Cooperation

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The Commonwealth of Pennsylvania is home to 2,567 municipalities – each responsible for providing its residents with services. Intergovernmental cooperation and multimunicipal planning offer users considerable benefits including improved services, enhanced environments and significant savings. Together, communities can effectively address issues that cross municipal boundaries including transportation, schools, emergency services, recreation and resource protection.

## **Financial Assistance**

- Land Use Planning and Technical Assistance Program (LUPTAP)
- Shared Municipal Services Grant Program
- Community Development Block Grants

## **Agencies Working to Support Pennsylvania’s Growing Smarter Initiatives**

### **The Governor’s Center for Local Government Services**

The Governor’s Center for Local Government Services (Center) is considered to be the principal advocate for all Pennsylvania local governments and provides a range of technical and financial assistance. Through these programs, local officials can receive assistance in matters ranging from police complement to fiscal management to assistance on the Municipal Planning Code (MPC), and on the existing tools available to them to help manage growth within their communities and revitalize previously developed areas. The Center works in conjunction with local, state and national associations/organizations providing assistance, training and the necessary tools to make Pennsylvania’s communities the best places to live and work.

## **Land Use Planning and Technical Assistance Program (LUPTAP)**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED), Governor's Center for Local Government Services

### **Description of Program:**

This program provides financial assistance for municipalities and counties of the Commonwealth for developing and strengthening community planning and management. The program encourages intergovernmental cooperation in planning, including cooperation with contiguous municipalities, counties and school districts.

### **Program Requirements:**

The LUPTAP program provides financial assistance to fund activities such as:

1. Developing new or updated comprehensive community development plans and policies.
2. Preparing environmental protection or physical development strategies or special studies that will support comprehensive planning.
3. Developing or updating ordinances and other tools for the implementation of comprehensive community development plans and policies or environmental protection or physical development strategies.
4. Training and education when proposed as a participatory planning component of a planning program.
5. Other worthwhile planning activities that address further land use objectives that do not have a negative impact on land use. (DCED determines what activities are considered "worthwhile planning activities.")

### **Program Availability:**

DCED generally funds 50% of the total cost of an approved application. The grantee is required to provide the remaining 50%, normally as a cash

match. Some or all of the required local match may be substituted with professional services of a grantee's staff, but this should be discussed with the Governor's Center for Local Government Services before submission of the application. A proposal for the substitution is required with the grant submission.

DCED's Single Application for assistance may be submitted at any time.

### **Contact Information:**

John Mizerak  
Governor's Center  
for Local Government Services  
Phone: 1-888-2CENTER (1-888-223-6837)  
E-mail: [jmizerak@state.pa.us](mailto:jmizerak@state.pa.us)

Applicants must use the DCED Single Application when applying for LUPTAP funding. Copies of the Single Application kit are available through DCED Customer Service at 1-800-379-7448 or 717-787-3405 or on DCED's website at: [www.inventpa.com](http://www.inventpa.com).

## **Shared Municipal Services Grant Program**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED), Governor's Center for Local Government Services

### **Description of Program:**

This program provides funding to promote cooperation between shared municipal services to increase the efficiency and effectiveness in the delivery of these services at the local level.

### **Program Requirements:**

There are two general project categories that a proposed project may fall under. They are:

1. Intermunicipal Organization Start-Up - Grants may be awarded to newly-formed Councils of Governments or similar organizations formed for the purpose of undertaking programs of

intermunicipal cooperation in order to defray the cost of initial administrative expenses.

2. Shared Services - Grants may be awarded to groups of two or more municipalities acting in concert to defray the cost of performance of any local government function.

### **Program Availability:**

Funding is awarded for such projects as combined police records administration, shared technology initiatives, municipal insurance pooling and shared public works operations. Also funded are programs for regional recreation activities, shared code enforcement operations, shared motorized equipment (not exceeding a maximum grant of \$25,000) or any authorized municipal function accomplished jointly (with the exception of the purchase, renovation or construction of buildings).

Grant funds are usually used to finance up to 50% of the total project cost. The rest of the project cost must be funded by local share. Local share may be provided in cash or by municipal labor or other in-kind services. However, the matching share for shared personnel projects must be in cash.

### **Contact Information:**

Fred Reddig  
Governor's Center  
for Local Government Services  
Phone: 1-888-2CENTER (1-888-223-6837)  
E-mail: [freddig@state.pa.us](mailto:freddig@state.pa.us)

Copies of the Single Application for assistance forms, application instructions and other information are available on line at [www.inventpa.com](http://www.inventpa.com), or by request from any DCED regional office, or by calling the DCED Customer Service Center at 1-800-379-7448.

## **Community Development Block Grants (DCED)**

### **Program Sponsor:**

Pennsylvania Department of Community and Economic Development (DCED), Governor's Center for Local Government Services

### **Description of Program:**

This program provides grant assistance and technical assistance to aid communities in their community and economic development efforts.

### **Program Requirements:**

Community Development Block Grant (CDBG) monies can be used for housing rehabilitation, public services, community facilities, infrastructure improvement development and planning. There are two components to the program:

1. Entitlement Program which provides annual funding to 27 third class cities, 128 boroughs and townships and 54 counties; and
2. Competitive Program which is available to all non-federal entitlement municipalities in Pennsylvania.

Seventy percent (70%) of each CDBG grant must be used for activities that benefit low- and moderate-income persons.

### **Program Availability:**

Local governments that are not designated by HUD as urban counties or entitlement municipalities are eligible for funding.

### **Contact Information:**

For more information, visit DCED's website at [www.inventpa.com](http://www.inventpa.com).

To request information or apply for the Entitlement Program contact:

Scott Dunwoody  
Department of Community  
and Economic Development (DCED)  
Phone: (717) 720-7402  
E-mail at: [sdunwoody@state.pa.us](mailto:sdunwoody@state.pa.us)

To request information or apply for the Competitive Program contact:

Tom Brennan  
Department of Community  
and Economic Development (DCED)  
Phone: (717) 720-7403  
E-mail: [tbrennan@state.pa.us](mailto:tbrennan@state.pa.us)



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